

1. PURPOSE

These policies and procedures guide students' presentation of their final theses. Regulation of the presentation of the final thesis at the graduate level helps to ensure that students respect French and International Intellectual Property laws as well as academic best practices of scholarly work. This policy also helps to create a collection of theses for the University Library.

2. WHO IS AFFECTED BY THIS POLICY

Graduate Students, Staff, Faculty.

3. LEGAL CONTEXT OR REGULATORY BODY

French and European intellectual property rights. The standards help to guide and protect students as well as the University Library from copyright infringements. The Intellectual property page contains a professionally translated legal text approved by a copyright lawyer.

4. POLICY STATEMENT

4.1 Introduction

The Office of Academic Affairs and the University Library set criteria and standards for the presentation of the written master's thesis at The American University of Paris (AUP). Once completed, master's theses are available to the AUP community and the wider scholarly public through the University Library, interlibrary loan, and the University Library digital collection.

AUP selected the Modern Language Association (MLA) style for writing and documentation for undergraduates,<sup>1</sup> but each master's program chooses the manual most consistent with the scholarly practices in its discipline. AUP's Graduate Review Board has adopted APA and MLA for the Program in International Management, MLA for the Global Communications Program and Oxford for the International Affairs, Diplomacy and International Law Programs. In case of discrepancy or lack of detail, this document takes precedence.

4.2 Presentation Stages, Final Submission and Deadlines

The thesis presentation has the following six stages:

Stage 1: The thesis, presented in person to the examiners, should be in its finished form, including charts, graphics, captions, bibliography, and references. After the defense is completed, final recommendations may need to be incorporated into the thesis.

Stage 2: After all the required text changes have been made, students must re-present the thesis to the examiners for their final approval.

Stage 3: Once the approval by the examiners has been confirmed students must contact the Graduate Program Administrators to make sure that they are not missing any administrative details.

Stage 4: The Graduate Program Administrators will advise the student to contact the University Library. By then the thesis should comply with all the formal standards of presentation included in this document. It is recommended, although not compulsory, to send a final copy to the University Librarian for verification before printing and binding the document. If the student takes this option a PDF file can be sent by e-mail to [thesis@aup.edu](mailto:thesis@aup.edu). A librarian will take a look at the formal aspects of the document and may recommend changes.

Stage 5: The final approved Thesis should be printed and bound (see section 6.6). At this point each student is responsible for gathering the signatures of the members of the jury and the thesis director.

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<sup>1</sup> Joseph Gibaldi, *MLA Handbook for Writers of Research Papers*, 7th ed. (New York: Modern Language Association of America, 2009). Copies of the latest edition are available at the AUP Library, ARC and the Writing Lab.

Stage 6: One final printed, bound and signed copy and its PDF version have to be submitted to the University Library ("Jorge Sosa, Bibliothèque Universitaire/AUP Library, The American University of Paris, 9 rue de Monttessuy, 75007 Paris, France".) and a PDF version (to [thesis@aup.edu](mailto:thesis@aup.edu)). Tips on how to make the PDF are available on the University Library's web page, under Graduate Students, select Thesis Standards and Submission, then select Thesis Tips. The bound thesis should be brought to the Administrative Offices of the Library or sent by registered mail or courier delivery services to Students are highly encouraged to retain documentation from the postal or courier service (e.g., the *accusé de réception* de La Poste) confirming that the thesis was posted. If mailed from another country it is vital to declare no commercial value and not to insure the document otherwise French customs will assign a value (between 40 - 80€) and the University will be billed for the reception of your document, in which case the student will receive a bill for the same amount. For the same reason we prefer that the thesis would be sent in a padded envelope rather than a box and bubble wrap. Items wrapped in bubble wrap are sometimes given a value automatically by customs.

While the University Library will check for the appropriate format and presentation standards, student responsibilities include proofreading and format changes. Once the final thesis has been submitted, the University Library will not accept any replacement or exchange of copies.

The final date of submission is established by each academic program. The diploma will be released only after reception of the thesis by the Library. The Library will send an e-mail confirming reception to the Office of Academic Affairs (Elizabeth Guinel) within 48 hours. The Library does not hold student grades or transcripts.

#### 4.3 Thesis Length

The thesis length varies according to the degree program as follows:

- MA in International Affairs: 12,000 – 17,000 words
- MAIA: 15,000 – 20,000 words
- MAGC: 14,000 – 20,000 words
- MSIM: 15,000-25,000 words

The word count includes all text from the beginning of the introduction to the end of the conclusion, it includes the footnotes or the endnotes. It excludes the bibliography and the words included in the graphics, charts, photos, etc. it also excludes the preliminary pages (such as the approval page, the intellectual property page, the acknowledgements, the statement of authenticity page, etc).

#### 4.4 Sections of the Thesis

The thesis should contain the following sections in the following order:

- Title page;
- Approval page;
- Statement of authenticity page;
- Intellectual property and copyright statement page;
- Dedication (optional);
- Acknowledgements (optional);
- Abstract;
- Table of contents;
- List of tables (required only if tables are presented in the text);
- List of figures (required only if figures are presented in the text);
- Key to symbols or abbreviations (optional);
- Text (introduction, chapters or sections forming the body of the analysis and conclusion);
- Appendices;
- Bibliography and/or references;
- Vita (optional).
- For binding purposes, a blank page is optional at the beginning of the thesis but mandatory at the end (see also Appendix 5).

##### 4.4.1 Title page

The title should include the following centered information:

- the name of the University;
- the graduate program;
- the award for which the thesis is submitted (e.g., the Master of Arts in International Affairs);
- the thesis title and subtitle;

- the student's full name, which should be the same as on the cover page, the approval page, and the name required on the diploma;
  - the contact address;
  - the city and date of submission.
- This page is counted but not numbered. Students are encouraged to follow the spacing shown in the sample title page (see Appendix 1).

#### 4.4.2 Approval page

The second page is the approval page and includes the printed names of the thesis director, the committee members, and the head of the master's program (see Appendix 2). The thesis director should be consulted if the student has questions regarding membership of the committee. This page is neither numbered nor counted.

#### 4.4.3 Statement of authenticity page

The third page is the thesis authenticity statement (see Appendix 3). This page should show the approximate number of words in the thesis. This page needs to be signed and dated by the student. This page is neither numbered nor counted.

#### 4.4.4 Intellectual property statement page

In France, the author of a thesis remains the student regardless of the director's guidance or the academic institution. This applies to works of translation as well. In France and in Europe a translation is considered as a creation and therefore the translator has the same rights and responsibilities as an author. It is therefore important that the student signs and dates the copyright page (see Appendix 4) authorizing AUP to record, maintain, and ensure proper use and access to the thesis.

In France there is no mandatory copyright registration for a master's thesis (mémoire). If a student wants to publish later the work it is his/her responsibility to start the formal copyright paperwork to publish and protect a piece of work. In France, as in other countries, there is a great number of societies which collectively manage the licensing of different types of work on behalf of copyright holders; one of them is the Institut National de la Propriété Intellectuelle (INPI). For further information see <https://www.service-public.fr/professionnels-entreprises/vosdroits/F23431>. To start the paperwork internationally, the student may contact ProQuest Information and Learning, formerly known as University Microfilms International (UMI). Students who would like to independently copyright materials in the U.S. may contact the United States Copyright Office, Library of Congress <<http://lcweb.loc.gov/copyright/>>. <sup>2</sup> Students may also consider Creative Commons <<http://creativecommons.org/>> as an option. If the student chooses Creative Commons, a short statement must be made on this page about the level chosen at Creative Commons. The creative commons logo should also be placed on the lower level of the title page. This page is counted and numbered.

#### 4.4.5 Abstract

The abstract is limited to 300 words and should summarize the research or internship experience as well as the principal points raised in the thesis. It should include a short explanation of the topic, the objective of the research, the methodology used, and the conclusion. The abstract should be headed with the title of the thesis, the student's full name, the degree for which the thesis is submitted, and the year of submission. A set of five keywords or key phrases should be included at the end of the abstract for indexing and cataloging purposes. Words or short phrases should be chosen to identify essential aspects of the topic, preferably using vocabulary common to the discipline. This will be essential as the University Library will summarize the content of the thesis, catalogue it, and make it available online. The abstract will be used to assist potential examiners on the methodology used. The abstract should be single-spaced on a single page. This page is counted and numbered.

#### 4.4.6 Dedication and acknowledgements (optional)

The dedication page is not paginated, while the acknowledgements page is. For further details, the student should refer to the style manual of the discipline.

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<sup>2</sup> Copyright law varies from country to country. For further information on copyright, see the following website: Kenneth D. Crews, "Part V: Protecting Your Copyright," *Copyright Law and Graduate Research: New Media, New Rights, and Your New Dissertation*, 2000, ProQuest. See also Marie Cornu et al, *Dictionnaire comparé du droit d'auteur et du copyright* (Paris: CNRS, 2003), available at the AUP Library.

- 4.4.7 Table of contents  
The table of contents must contain all the sections mentioned in section 4 (see also 5.8 Pagination).
- 4.4.8 Body  
The body of the text must clearly indicate the different chapters, sections, and sub-sections. Each chapter/section must start on a new page (see also 5.7).
- 4.4.9 Footnotes, endnotes, or parenthetical/in-text citations  
The student must choose among footnotes (placed at the bottom of the page), endnotes (placed at the end of chapters or sections), or parenthetical/in-text citations. The student should select one method and remain consistent throughout the thesis.
- 4.4.10 Tables, figures and illustrations  
There are three options for tables, figures, and illustrations:
- Place them within the text (not wrapping the text around the table/figure/illustration);
  - Place each image on a separate page and in the same chapter/section;
  - Group them at the end of the chapter/section.
  - The student must select one of the options and be consistent throughout the thesis.
- 4.4.11 Bibliography and/or references  
The bibliography includes all sources used for the preparation of the thesis, whereas the references are composed only of the documents cited in the thesis, including all footnotes, endnotes, and parenthetical/in-text citations. In both cases, they need to follow the standards given by each discipline. Some academic programs may require both a bibliography and references as part of the thesis.
- 4.4.12 Vita (optional)  
The vita includes the academic and professional background of the student (full birthday, educational institutions attended and diplomas obtained, professional positions held, and scholastic and professional honors). Exclude the degree currently pursued, the description of professional positions, and personal information.
- 4.5 Formatting  
The word-processing software chosen for writing the thesis must meet the standards for margins, pagination, spacing, hyphenation, indentation, underlining, diacritics, placement of notes, etc. that the chosen style manual requires. The thesis must be submitted in English unless otherwise specified by the program
- 4.5.1 The student has the option of printing the thesis either on one side or both sides of the paper. The margin along the left-hand side of each page should be 32 to 39 mm (1.25 to 1.5 in). Top, bottom, and right-hand margins should be at least 25 mm (approximately 1 in).
- 4.5.2 It is the student's responsibility to ensure that the print quality of the thesis is of adequate definition and legibility standards (see also 6.2). Use Times New Roman 12-point as the default font type and size across the entire work (including the introductory pages, the body, the table titles, figure captions, long quotes, lists, page numbers, etc.). The author should also pre-define the font and a size for all titles, subtitles and sections, chapters, sections, and sub-sections; they may be identified with 14- or 16-point type. Smaller font size of 10- and 11-points sizes are acceptable for footnotes, endnotes, text contained in graphics, tables, figures, etc. Remain consistent.
- 4.5.3 The thesis should be double-spaced except for quotations longer than four lines, footnotes and the bibliography, all of which should be single-spaced. Double-spacing should be taken to mean a distance of about 8 mm (or 0.3 in) between successive lines of text.
- 4.5.4 Quotations over four lines should be set off from the text by beginning a new line and indenting 25.4 mm (or 1 in) from the left margin.
- 4.5.5 The thesis should be printed on either white bond size A4 paper (80 grams) or 8.5-by-11-inch paper for the University Library copy.
- 4.5.6 As stated in 4.8, the thesis must have clearly divided chapters or sections. Use a new page for the beginning of each new chapter or section.
- 4.5.7 The pages of the thesis must be numbered consecutively. The title page should not be numbered. With the exception of the approval page, the statement of authenticity page, and the dedication page, all other pages prior to the introduction should be numbered in lower case Roman numerals at the bottom center of the page at 25.4 mm (or 1

in) and beginning with number ii. The main text, chapters, illustrations, appendices, and bibliography are consecutively paginated at the bottom center using Arabic numerals beginning with 1. Page 1 of the thesis should be the first page of the introduction. The placement of page numbers must be consistent throughout the thesis (see also Appendix 5).

- 4.5.8 Widows (short lines ending a paragraph at the top of a page) and orphans (headings or sub-headings at the bottom of a page not followed by text) are not acceptable in a thesis.

#### 4.6 Printing Requirements, Binding and Number of Copies

- 4.6.1 As stated in 5.2, printing may be on one or both sides of the paper and the typeface needs to be uniform at 12-point type. Dark lines, smudges, and shadows are not acceptable.
- 4.6.2 The accepted printing quality is laser (minimum resolution 300 dpi) and professional-quality photocopying. Dot-matrix and inkjet (as the ink is water soluble) and resolutions below 300 dpi are no longer acceptable, nor are any erasures, correction tape, or fluid (whiteout) marks.
- 4.6.3 Illustrations are to be made preferably using graphics software for lettering and drawings. Illustrations are to be laser printed or professionally photocopied on to the same type and quality of paper. Hand-letterings and drawings must be of professional quality.
- 4.6.4 Appendices, foldouts, and mixed media should be printed on bond paper of the same quality as the rest of the thesis and fit to standard A4 or 8.5-by-11-inch paper (see 5.6). The use of pockets is to be avoided when possible.
- 4.6.5 Theses that include CDs, DVD-ROMs, videocassettes, and other mixed media will have additional binding and archival costs. Please consult the University Library for additional information and standards. The presence of mixed media should be mentioned in the table of contents. If copyrighted audiovisual information is used in the thesis, copyright permissions are to be obtained by the student. The notion of "fair use" is not applicable in France.
- 4.6.6 As stated in section 2, the University Library requires one printed and bound copy of the final signed version and an electronic copy, a searchable PDF, either sent by e-mail (to [thesis@aup.edu](mailto:thesis@aup.edu)). The University Library printed copy should be securely bound with a soft cover (the soft cover binding accepted at AUP is known, in France, as *reliure thermocollée*; see Appendix 6). The University Library also welcomes hardcover binding. Comb or ring/spiral binding is not accepted.
- 4.6.7 Before the thesis defense, candidates are asked to submit one copy to each of the readers and the Program Director. After the defense, the student needs to edit the thesis according to the examiners' latest recommendations.

#### 4.7 Appendices (see end of document)

For the appendixes of the thesis presentation standards see end of this document.

### 5. RESPONSIBILITIES

Students must defend and formally present their theses to the University Library, faculty who are responsible for directing students' theses, research help librarians, Assistant Dean for Graduate Programs, Library Administrative Assistant, and Technical Processes Department of the University Library.

### 6. APPROVALS & HISTORY

In 2008, the University Librarian (Jorge Sosa) and Graduate Programs Administrator (John Gallagher) worked with the ARC, Writing Lab, and Library to develop a first policy for regulating theses presentations. Improvements and modifications were made in 2010, 2011, 2013, and 2015. In 2018, the Graduate Review Board, the Provost (Hank Kreuzman), legal counsel (Maître Chapitre) and a legal translator developed and approved a definitive version.

In June 2019 the Assistant Dean for Graduate Programs confirmed this version of the policy.

Next review in November 2022.

### 7. ISSUING OFFICE AND CONTACT

The Office of Academic Affairs  
5 bd de La Tour-Maubourg  
75007 Paris

Appendix 1 Model of the title page (see also 4.1)

You may copy + paste + adapt this page. Please pay attention to the spacing balance of the information given on the title page.

**[UPPER LEVEL OF THE PAGE]**

THE AMERICAN UNIVERSITY OF PARIS  
MASTER OF ARTS IN INTERNATIONAL AFFAIRS

**[CENTERAL LEVEL OF THE PAGE]**

THESIS SUBMITTED IN PARTIAL FULFILLMENT OF THE REQUIREMENTS FOR THE DEGREE OF  
MASTER OF ARTS IN INTERNATIONAL AFFAIRS

**The Role of Public Policy in XXI<sup>th</sup> Century Guatemalan Public Health  
System: local interaction during the government of Alejandro Maldonado**

**Margarita Acosta-Dupont**

Contact e-mail: [your\\_e-mail\\_here@gmail.com](mailto:your_e-mail_here@gmail.com)

**[LOWER LEVEL OF THE PAGE]**

- Paris, February 17<sup>th</sup>, 2018 –

Appendix 2 Model of the approval page (see also item 4.2)

You can copy + paste + adapt this page.

Approval Page

The American University of Paris

Masters of \_\_\_\_\_

This thesis, entitled [*Title of the thesis here*] and written by [author of the thesis/student's name here], was approved by

its director \_\_\_\_\_

Enter the professor's name here below the line

and by the following faculty member(s) of the defense committee

\_\_\_\_\_  
Enter the professor's name here

\_\_\_\_\_  
Enter the professor's name here

as well as by the Director of the Graduate Program:

\_\_\_\_\_  
Enter the professor's name here

on      (enter date of the defense here) 20

**Appendix 3 Model of the statement of authenticity page** (see also 4.3)

You may copy + paste + adapt this page.

Statement of Authenticity

I have read the American University of Paris's policies on plagiarism and I certify that the content of this thesis entitled

\_\_\_\_\_ (insert title here) \_\_\_\_\_

is all my work and does not contain any unacknowledged content from other sources. I certify that I have also obtained authorization to use all images, photos, illustrations, maps and charts from their authors/publishers, unless they are in the public domain.

Number of words: \_\_\_\_\_

Signed: \_\_\_\_\_ (author's signature here) \_\_\_\_\_

Date: \_\_\_\_\_



Intellectual property:

I hereby agree to submit a paper and electronic copy of the following thesis \_\_\_\_\_ (thesis title) \_\_\_\_\_ to the American University of Paris (AUP) Library for inclusion in its collection. From this date, I authorize the AUP Library to catalogue, index, archive and quote my thesis, create and distribute summaries thereof, and produce and share catalogue records, in France or around the world on any platform and portal of its choice, in the sole aim of contributing to research.

Therefore, I authorize AUP to make my thesis freely available, in whole or in part, for consultation onsite, borrowing and interlibrary loan — via the internet/intranet — in France or abroad. Rights granted to AUP through this agreement are entirely nonexclusive and free from any royalty throughout the duration of intellectual property protection provided by French law to authors, their beneficiaries or representatives, including any extensions thereto.

As the author, I will remain the copyright owner and free to publish my thesis, with AUP required to obtain my formal permission for any other use or reproduction.

I also authorize the AUP Library to remove all or part of my thesis from its catalogue ten years after receiving it. In this case, the Library will inform me via the email address provided on the thesis title page, which I am responsible for updating if necessary.

Je dépose l'intégralité de ce mémoire universitaire \_\_\_\_\_ (titre de l'œuvre) \_\_\_\_\_ - sous format papier et sous version électronique - à la bibliothèque de The American University of Paris – AUP afin d'intégrer sa collection de mémoires universitaires. A compter de ce jour, j'autorise la bibliothèque d'AUP à cataloguer le mémoire universitaire et l'indexer, à produire et disséminer ses notices, à l'archiver, à le citer, à en faire des résumés et à les diffuser en France ou dans le monde entier sur toute plateforme et portail de son choix, dans le seul objectif de contribuer à la recherche.

Ainsi, j'autorise AUP à donner un accès gratuit à l'œuvre, en tout ou partie, pour sa consultation sur place, son prêt et sa diffusion pour le prêt entre bibliothèques -- par internet/intranet- en France ou à l'étranger. La présente cession du droit de diffusion et droit de prêt est consentie à titre gratuit et à titre non exclusif, pour toute la durée légale de protection de la propriété intellectuelle offerte par la loi française à l'auteur, à ses ayants droits ou représentants, y compris les prolongations qui pourraient être apportées à cette durée.

En tant qu'auteur, je garde mon droit moral et mon droit d'exploitation sur l'œuvre, AUP devant obtenir mon accord explicite pour toute autre reproduction et exploitation.

J'autorise aussi la bibliothèque à désherber et retirer de son catalogue un ou les supports de l'œuvre 10 ans après la réception de mon mémoire. Dans ce cas la bibliothèque devra m'en informer à l'adresse email que je fourni dans la page de titre de ce mémoire, à charge pour moi d'actualiser cette adresse email si nécessaire.

\_\_\_\_\_ 20\_\_  
Student's signature Date

Appendix 5 Pagination and sequencing table

<b>Order</b>	<b>Pagination</b>	<b>Page Number Placement</b>	<b>Listed in Table of Contents</b>
<b>Preliminary Pages</b>	<b>Small Roman Numerals Starting at <i>i</i></b>	<b>Location</b>	<b>Yes/No</b>
Blank page (optional, <u>only</u> for hard cover binding)	Do not count/ do not number	None	No
Title page	Count but do not number	None	No
Approval page	Do not count/ do not number	None	No
Statement of authenticity page	Do not count/ do not number	None	No
Intellectual Property page	Count and number	Center bottom	No
Dedication (optional)	Do not count/ do not number	None	No
Acknowledgments (optional)	Count and number	Center bottom	No
Abstract	Count and number	Center bottom	No
Table of contents	Count and number	Center bottom	No
List of tables (for 5 or more only)	Count and number	Center bottom	Yes
List of figures (for 5 or more only)	Count and number	Center bottom	Yes
Key to symbols or abbreviations (optional)	Count and number	Center bottom	Yes
<b>Text</b>	<b>Arabic Numerals Starting at 1</b>	<b>Location</b>	<b>Yes/No</b>
Introduction	Count and number	Center bottom	Yes
Body of thesis (chapters, sections, etc.)	Count and number	Center bottom	Yes
Conclusion	Count and number	Center bottom	Yes
Appendix(ces)	Count and number	Center bottom	Yes
Bibliography/references	Count and number	Center bottom	Yes
Glossary (optional)	Count and number	Center bottom	Yes
Vita (optional)	Do not count/ do not number	None	No
Blank page (mandatory; this is not the soft cover)	Do not count/ do not number	None	No

## Appendix 6 Professional copying, printing and binding in Paris

There are many commercial photocopy and binding services in the city of Paris that bind documents with the *reliure thermocollée* or heat sealed binding.

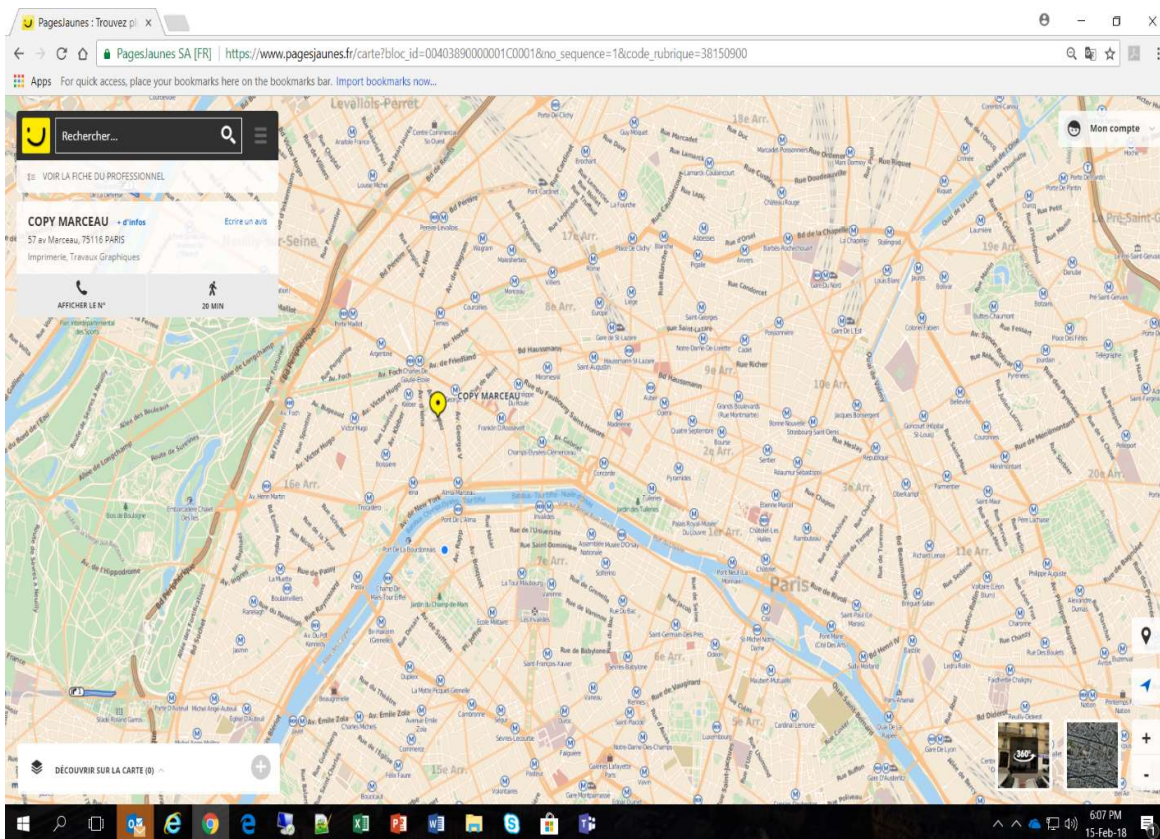
1. For geographical convenience the University works with Copy Marceau, but students are free to choose the printing, copying and binding services of their preference.

Copy Marceau

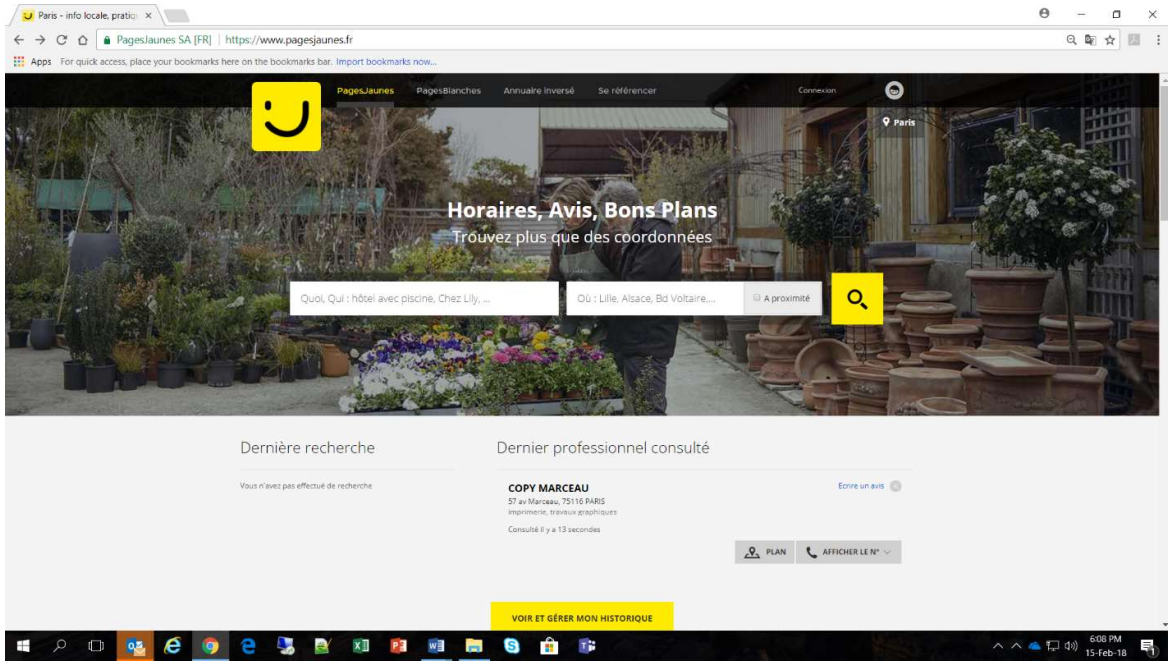
57 avenue Marceau

75016 Paris

Phone: 01 47 20 26 80



2. To find **other commercial professional printing and binding services**, students are welcome to use the French yellow pages ([www.pagesjaunes.fr](http://www.pagesjaunes.fr)) and look for *photocopie*, *reprographie* (field "Qui, Quoi") and *Paris (75)* (field "Où").



3. Graphic of *reliure thermocollée*

